

4.17.02

2622

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box → ☒

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/683,374
Filing Date	December 19, 2001
First Named Inventor	John C. Chappell
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	3383.1

Total Number of Pages in This Submission

66

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449, plus references Return Postcard
--	---	--

Remarks

RECEIVED

APR 24 2002

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Alan B. Sherr
Signature	<i>Alan B. Sherr</i>
Date	April 16, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail ET081852519US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 16, 2002

Typed or printed name	Gilda T. Carr
Signature	<i>Gilda T. Carr</i>
Date	April 16, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

Attorney Docket No. 3383.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John C. Chappell

Examiner: Unknown

Serial No: 09/683,374

Group Art Unit: Unknown

Filing Date: December 19, 2001

INFORMATION DISCLOSURE
STATEMENT (SUPPLEMENTAL)

**Title: System and Method for
Addressable Light-Directed
Microarray Printing**

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

APR 24 2002

Technology Center 2600

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the supplemental documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

Citation of these document shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: 4/16/02

Respectfully submitted,

Alan B. Sherr
Reg. No. 42,147

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/683,374
Filing Date	December 19, 2001
First Named Inventor	John C. Chappell
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	3383.1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	NANCY GARCIA, Largest-ever CRADA brings \$200 million microelectronics investment, Intel-backed consortium teams with three national labs on EUV project, Sandia Lab News, September 12, 1997, http://sandia.gov/LabNews/LN09-12-97/crada_story.html	
	5	LIGA, Sandia LIGA History, sandia national laboratories/california, one page, http://www.ca.sandia.gov/liga/history.html	
	6	LIGA, Technology, sandia national laboratories/california, two pages, http://www.ca.sandia.gov/liga/tech.html	

RECEIVED**APR 24 2002****Technology Center 2600**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.